

Golden State Wealth Management, LLC

PRIVACY NOTICE REGARDING CLIENT PRIVACY AS REQUIRED BY REGULATION S-P & REGULATION S-AM

Maintaining the trust and confidence of our clients is a high priority. That is why we want you to understand how we protect your privacy when we collect and use information about you, and the steps that we take to safeguard that information. This notice is provided to you on behalf of Golden State Wealth Management, LLC (“GSWM”).

Information We Collect: In connection with providing investment products, financial advice, or other services, we obtain non-public personal information about you, including:

- Information we receive from you on account applications, such as your address, date of birth, Social Security Number, occupation, financial goals, assets, and income;
- Information about your transactions with us, our affiliates, or others; and
- Information received from credit or service bureaus or other third parties, such as your credit history, retirement plans, or employment status.

Children’s Privacy / COPPA Compliance: Our services and website are not intended for individuals under the age of 16. We do not knowingly collect or retain personal information from children under 13. If we become aware that such information has been collected without verified parental consent, we will take immediate steps to delete it. Please contact us if you believe we may have inadvertently collected a minor’s information.

Categories of Information We Disclose: We may only disclose information that we collect in accordance with this policy. GSWM does not sell customer lists and will not sell your name to telemarketers.

Categories of Parties to Whom We Disclose: We will not disclose information regarding you or your account with us, except under the following circumstances:

- To entities that perform services for us or function on our behalf, including financial service providers, such as a clearing broker-dealer, investment company, or insurance company;
- To consumer reporting agencies,
- To third parties who perform services or marketing on our behalf;
- To your attorney, trustee, or anyone else who represents you in a fiduciary capacity;
- To our attorneys, accountants, or auditors;
- To government entities or other third parties in response to subpoenas or other legal process as required by law or to comply with regulatory inquiries; and
- To investment adviser representative(s) who serviced your account, upon their departure from Golden State Wealth Management, LLC, so that such representative can continue to service your account(s) at a new firm.
- To unaffiliated digital estate planning platforms, solely at the client’s request and only to the extent necessary to facilitate requested services, under contractual confidentiality protections.
- With broker-dealer firms having regulatory requirements to supervise certain activities of Advisor’s representatives who are also registered with a broker-dealer firm.

How We Use Information: Information may be used among companies that perform support services for us, such as data processors, technical systems consultants and programmers, or companies that help us market products and services to you for a number of purposes, such as:

- **To protect your accounts** from unauthorized access or identity theft;
- **To process your requests** such as securities purchases and sales;
- **To establish or maintain an account with an unaffiliated third party**, such as a clearing broker-dealer providing services to you and/or GSWM;
- **To service your accounts**, such as by issuing checks and account statements;
- **To comply** with Federal, State, and Self-Regulatory Organization requirements;

- **To keep you informed** about financial services of interest to you.
- **To coordinate access** to unaffiliated digital estate planning platforms when requested by the client.

Regulation S-AM: Under Regulation S-AM, a registered investment adviser is prohibited from using eligibility information that it receives from an affiliate to make a marketing solicitation unless: (1) the potential marketing use of that information has been clearly, conspicuously, and concisely disclosed to the consumer; (2) the consumer has been provided a reasonable opportunity and a simple method to opt out of receiving the marketing solicitations; and (3) the consumer has not opted out. GSWM does not receive information regarding marketing eligibility from affiliates to make solicitations.

Our Security Policy: We restrict access to nonpublic personal information about you to those individuals who need to know that information to provide products or services to you and perform their respective duties. We maintain physical, electronic, and procedural security measures to safeguard confidential client information.

Your Right to Opt Out: Federal privacy laws give you the right to restrict some sharing of your personal financial information. These laws balance your right to privacy with GSWM'S need to provide information for normal business purposes. You have the right to opt out of some information sharing with companies that are: (1) Part of the same corporate group as your financial company (or affiliates); or (2) Not part of the same corporate group as your financial company (or non-affiliates). Choosing to restrict the sharing of our personal financial information will not apply to (1) Information about you to firms that help promote and market the company's own products or products offered under a joint agreement between two financial companies; (2) Records of your transactions--such as your loan payments, credit card or debit card purchases, and checking and savings account statements--to firms that provide data processing and mailing services for your company; (3) Information about you in response to a court order; and (4) Your payment history on loans and credit cards to credit bureaus. If you opt out, you limit the extent to which Arimathea can provide your personal financial information to non-affiliates. If you wish to opt out of certain data collection or contact resulting from your website activity, or if your information was obtained through data enrichment, you may contact us at privacy@arimatheainvesting.com to request removal or clarification.

State Specific Regulations: Pursuant to California Consumer Privacy Act ("CCPA"), California residents, as defined in Section 17014 of Title 18 of the California Code of Regulations, have certain rights regarding their personal data. These rights include the Right to be Notified of the personal information that GSWM has collected about you over the last 12 months; the Right to Request the personal information that GSWM has collected about you; the Right to Delete, with exceptions, the personal information that GSWM has collected about you; and the Right to not be discriminated against for exercising any of the above rights.

To request the personal information that has been collected about you or to request deletion, please email: Compliance@teamogldenstate.com

In response to the CCPA, to be conservative we assume accounts with California addresses do not want us to disclose personal information about you to non-affiliated third parties, except as permitted by Federal and California law. We also limit the sharing of personal information about you with our affiliates to ensure compliance with California privacy laws.

Do Not Sell or Share

GSWM does not sell personal information for monetary value. However, in some jurisdictions, "sharing" can include providing data to third parties for targeted advertising. If such practices are used, users will be provided with a clear method to opt out of this sharing

Closed or Inactive Accounts: If you decide to close your account(s) or become an inactive customer, our Privacy Policy will continue to apply to you.

Complaint Notification: Please direct complaints to: Patrick Catone at Golden State Wealth Management, LLC, 254 East 2nd Street Powell, WY 82435; (866) 273-1563.

Changes to This Privacy Policy: If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. If you have any questions concerning this Privacy Policy, please contact us at: Golden State Wealth Management, LLC, 254 East 2nd Street Powell, WY 82435; (866) 273-1563